

# The 11<sup>th</sup> Annual A.C.E.S. Compliance Summit

## Anti-Corruption, Export Controls, and Sanctions

*A singular event exploring the nuanced intersections of anti-bribery, anti-corruption, trade controls and sanctions compliance challenges on a global regulatory scale*

April 17 – 18, 2018 | Tysons Corner Marriott | Tysons Corner, VA

### **Event Background**

In today's constantly evolving regulatory landscape, the urgency to ensure that one's global corporate operations are conducted in a seamlessly compliant manner is of the utmost importance. As companies seek to confirm that compliance is maintained across all touch points of your global business, a holistic view of requirements dictated by the overlapping jurisdictions of anti-bribery, anti-corruption, global trade and sanctions regulations make this task particularly difficult for the in-house legal counsel, compliance officer and international trade executive.

Uniquely formatted to address the convergence of global regulations spanning these core areas, attendees of **The A.C.E.S. Compliance Summit** will benefit from a 3-in-1 event experience that offers attendees the ability to customize their conference experience by building a two-day program that best fits your domestic and international compliance needs.

Providing a balanced combination of plenary and track sessions, case studies and benchmarking discussions that will drive a mindshare of practical solutions and cutting-edge strategies, **NEW** for 2018, **The A.C.E.S. Compliance Summit** will offer discussion on top of mind topics including:

- **Conflict of Interest Considerations for Today's Global Business: What Every Compliance Officer and Legal Executive *Should* Know about Your Company's Connections & Business Relationships**
- **Focus on Asia-Pac: ABAC Training Tools for Overcoming Cultural, Custom and Language Barriers to Achieve Effective Compliance on the Ground**
- **Where IT, The Cloud & Trade Controls Meet: An Examination of Recommended Storage and Access Controls for Controlled Information**
- **Exit (*and* Entrance Strategies) for LatAm: A Checklist Guide for What Should Go into Your ABAC Risk Matrix**
- **Executive Leadership Roundtable: A Discussion on Board Governance, Information Flow, Reporting Lines and Individual Liability**
- **The Forefront of RegTech: An Exploration of New Technologies, Analytics & Measurement Techniques That are Revolutionizing Corporate Approaches to Global Compliance**
- **Debunking the Ever-Expanding Definition of "The Bribe": A Conversation on Current Policy & Regulatory Considerations Underlying Financial Crime & the Global Divergence of the Law**
- **CFIUS Reviews: M&A Due Diligence Recommendations for Preparing for a CFIUS Review**
- **Russia Sanctions Case Study: A Step by Step Guide for Navigating the Complex Sanctions Landscape**

## **WHO YOU WILL MEET AT THE ACES COMPLIANCE SUMMIT**

- Representatives from a diverse cross-section of the Fortune 500 as well as mid-size organizations including senior corporate counsel, compliance and business executives with responsibility for:
  - International Trade Compliance, Controls & Licensing
  - Anti-Corruption/FCPA/Anti-Bribery Compliance
  - Global Sanctions
  - Corporate & Regulatory Compliance
  - Ethics, Business Conduct & Governance
  - Internal/Corporate Internal Audit
  - Fraud & AML
  - Internal & Government Investigations
  - Compliance Training
  - Risk Assessment
  - Litigation
  - Government Enforcement & Regulation
  - Financial Crime
  
- “Who’s Who” of the FCPA and International Trade bar, including counsel from the nation’s top-ranked practices expert in:
  - FCPA, Anti-Corruption & Anti-Bribery
  - Export Controls & Global Trade
  - Government & Internal Investigations
  - White Collar Defense & Litigation
  - Government Enforcement & Regulation
  - Public Companies Counseling + Compliance
  
- Expert forensic accountants and consultants who have been directly involved with recent high-profile investigations and cases involving anti-corruption, export controls and other international trade compliance issues
  
- Senior Government officials from the U.S. Department of Justice, Securities and Exchange Commission, Bureau of Industry and Security, U.S. Department of Commerce and the U.S. Department of State



Contact: Sunny McCall, JD | Vice President, Content & Experience  
Email: [sunny@momentumevents.com](mailto:sunny@momentumevents.com) | Phone (862) 230-1081

---

### **Meet Momentum's Global Anti-Corruption Compliance and Trade Advisory Boards**

Developed with support from Momentum's panel of expert thought leaders and industry insiders, our **Global Anti-Corruption Compliance and Trade Advisors** provide strategic guidance on the overall direction of Momentum's global compliance events portfolio.

- Stephanie Accuosti, Senior Counsel & Director of Ethics, Weatherford
- Andrew Baird, Global Compliance Leader, GE Oil & Gas
- Ben Bard, VP, Global Chief Compliance Officer, Archer Daniels Midland
- John Buretta, Partner, Litigation, Cravath Swaine & Moore LLP
- Doug Cohan, Deputy Compliance Officer, Hess Corporation
- Garrett Cornelison, Chief Compliance Officer, Quanta Services
- Michael Donnell, Corporate Compliance Officer, Murphy Oil Corporation
- Tom Donovan, Director, Export Management, Northrop Grumman
- Fermeen Fazal, Vice President and Chief Counsel Universal, Pegasus International
- Ameer Gopalani, Global Ethics & Compliance Director, ABS
- William T. Gordon, Associate General Counsel and CCO, Hercules Offshore, Inc.
- Steven G. Gyeszly, Chief Compliance Counsel, Marathon Oil Company
- Frank Hayden, VP, Trading Compliance, Calpine
- Lisa Stewart Hughes, VP, Deputy Chief Compliance Officer, NBCUniversal
- Marianne Ibrahim, Sr. Counsel Compliance & Investigations, Baker Hughes
- Karen Jones, Director Trade Compliance, AMMROC-US
- Muzzil Khairat, Regional Compliance Counsel, Halliburton
- Kartapurkh S. Khalsa, Sr. Csl & Director of Customs Compliance, National Oilwell Varco
- Luis Kolster, VP, Chief Ethics & Compliance Officer - Latin America and Africa, Walmart International
- Mike Kostiw, Vice President, Chief Ethics & Compliance Officer, AECOM
- Sergio Leal, Compliance Officer, Bilfinger North America Region
- Jennifer Maki, Director & Senior Counsel, International Trade Compliance, UTC CCS
- Jay Martin, Chief Compliance Officer & Associate General Counsel, Baker Hughes, a GE Company
- Adrian Mebane, Vice President, Deputy General Counsel, The Hershey Company
- Laura J. Molinari, Executive Counsel, International Trade Compliance, GE Aviation
- Jeffrey S. Odenwald, Director, Export Compliance Americas, Flowserve Corporation
- Ryan Rabalais, Chief Compliance Officer, Paragon Offshore
- David Alan Rassin, Sr. Csl – Director, Ethics and Compliance, Patterson – UTI
- Catherine Razzano, Assistant General Counsel & Director, International Law, General Dynamics
- Gwen Romack, Sr. Dir., Ethics & Compliance – Americas & Global Operations & Strategy, VMware
- Kathylnn Self, Vice President & Chief Compliance Officer, Universal Weather & Aviation
- Natalia Shehadeh, Vice President & Chief Compliance Officer, Weatherford
- Jeff Schwartz, Senior Global Trade Counsel, Hewlett Packard Enterprise
- Julia C. Symon, Vice President – Chief Compliance Officer, KBR
- Natalie Walker, Associate General Counsel and Chief Compliance & Ethics Officer, Washington Gas



Contact: Sunny McCall, JD | Vice President, Content & Experience  
Email: [sunny@momentumevents.com](mailto:sunny@momentumevents.com) | Phone (862) 230-1081

---

**Day One: Tuesday, April 17, 2018**

**7:45 Registration and Breakfast**

**8:30 Chairs' Opening Remarks**

N - Joseph M. Azam  
Senior Vice President, Legal & Group Chief Compliance Officer | News Corp

N - Howard Fields  
Senior Vice President & Counsel, Anti-Money Laundering, Sanctions, and Export Compliance | Mastercard

Anti-Corruption/Anti-Bribery:

N - Kimberly A. Ball  
Director, Anti-Bribery and Anti-Corruption Compliance | Bank of America

International Trade/Sanctions:

N – Eugene Laney  
Head of International Trade Affairs | DHL Express

**8:50 Opening Keynote Address: Inside the Odebrecht Compliance Journey**

C - Olga Pontes  
Chief Compliance Officer | Odebrecht S.A

**9:20 Debunking the Ever-Expanding Definition of “The Bribe”: A Conversation on Current Policy & Regulatory Considerations Underlying Financial Crime & the Global Divergence of the Law**

N - Adrian Mebane  
Vice President, Deputy General Counsel | The Hershey Company

C - Anirudh Bansal  
Partner | Cahill Gordon & Reindel LLP

**10:00 Insights for Maximizing Your Relationship with a Compliance Monitor: From Collaboration, Communication and Reporting to Remediation, Corrective Action and Beyond**

N - William T. Gordon  
Associate General Counsel and CCO | Hercules Offshore, Inc.

N- Daniel Garen Daniel Garen



Contact: Sunny McCall, JD | Vice President, Content & Experience  
Email: [sunny@momentumevents.com](mailto:sunny@momentumevents.com) | Phone (862) 230-1081

---

Chief Ethics & Compliance Officer | Danaher Corporation

N - John Buretta  
Partner | Cravath, Swaine & Moore LLP

**10:45 Networking and Refreshment Break**

**11:00 Scenario-Based Due Diligence & Screening Best Practices for Contractors, Customers, Partners & Agents**

N – Emmanuel N. Ayuk  
Senior Director, Global Compliance & Associate General Counsel | Archer Daniels Midland

N - Gwen Romack  
Sr. Dir., Ethics & Compliance – Americas & Global Operations & Strategy | VMware

N - Garrett Cornelison  
Chief Compliance Officer | Quanta Services

**11:45 Multi-Jurisdictional Investigations: Diverse Perspectives on Cooperation, Coordination, Information Management/Control & Global Settlement**

N - Bruce C. Swartz  
Deputy Assistant Attorney General, Counselor for International Affairs | U.S. Department of Justice

N - Jeffrey Eglash  
Vice-President of Ethics and Compliance | Nokia Corporation

N - Mark Califano  
Senior Vice-President, Managing Counsel | American Express

In Conversation With:

N - Katy Choo  
Chief Investigative and Anti-Corruption Counsel | General Electric

**12:30 Government Roundtable: The ABAC-International Trade Nexus: Focus Enforcement Targets for Enforcement, Cooperation, Coordinated Investigations and Voluntary Disclosure**

N – U.S. Department of Justice  
N – U.S. Securities and Exchange Commission  
N – U.S. Department of Commerce  
N – U.S. Department of State  
N – Office of Foreign Assets Control | U.S. Department of the Treasury



Contact: Sunny McCall, JD | Vice President, Content & Experience  
Email: [sunny@momentumevents.com](mailto:sunny@momentumevents.com) | Phone (862) 230-1081

---

**1:30    Networking Luncheon**  
**2:45    Track Sessions Begin**

*During the following track sessions attendees will have the opportunity to break out into discussions contingent on your particular area of expertise or concern.*

**2:45-3:25        Track Session 1**

**Track A: Anti-Corruption/Anti-Bribery**

**One on One with the SEC’s Office of the Whistleblower: A Conversation on Enforcement Trends, Retaliation, Communication & New Trends in Employment Agreements**

N - Jane Norberg  
Chief, Office of the Whistleblower | U.S. Securities and Exchange Commission

In Conversation With:

C – David Stuart  
Partner | Cravath, Swaine & Moore LLP

**Track B: International Trade/Sanctions**

**Economic & Trade Sanctions Roundtable: Update on Recent Regulatory Changes & Compliance Recommendations for Guiding Your Business**

N - Office of Foreign Assets Control | U.S. Department of the Treasury

N - Laura J. Molinari  
Executive Counsel, GE Corporate, Global Legal Operations | International Trade Compliance

N - Jill Caiazzo  
Chief Trade Counsel, IBM Corporation

In Conversation With:

N - Catherine Razzano  
Assistant General Counsel & Director, International Law | General Dynamics

**3:25-4:15        Track Session 2**

**Track A: Anti-Corruption/Anti-Bribery**



Contact: Sunny McCall, JD | Vice President, Content & Experience  
Email: [sunny@momentumevents.com](mailto:sunny@momentumevents.com) | Phone (862) 230-1081

---

**What You Don't Know *Could* Hurt You: Insights for Building a Unified Compliance Dashboard for Effectively Managing Bribery, Fraud and AML Risks Across Your System of Internal Controls**

N - Ash Mishra  
Chief Compliance Officer - Mars, Incorporated

N - Jonathan Rusch  
SVP, Head of Anti-Bribery & Corruption Governance | Wells Fargo

N – Alison Vasquez  
Vice President, Internal Audit | KBR, Inc.

**Track B: International Trade/Sanctions**

**CFIUS Reviews: M&A Due Diligence Recommendations for Preparing for a CFIUS Review**

N - Tom Donovan  
Director, Export Management | Northrop Grumman

N - Michael Mastroberti  
Global Trade Strategy | Tesla

N - Joshua Holzer  
Chief Counsel for Global Trade | Pfizer

**4:15 Afternoon Networking & Refreshment Break**

**4:30-5:15 Track Session 3**

**Track A: Anti-Corruption/Anti-Bribery**

**Focus on Asia-Pac: ABAC Training Tools for Overcoming Cultural, Custom and Language Barriers to Achieve Effective Compliance on the Ground**

N - Ameer Gopalani  
Global Ethics & Compliance Director | ABS

N - Todd Hartman  
Vice President and Deputy General Counsel | Best Buy

N - Muzzil Khairat  
Regional Compliance Counsel | Halliburton

**Track B: International Trade/Sanctions**



Contact: Sunny McCall, JD | Vice President, Content & Experience  
Email: [sunny@momentumevents.com](mailto:sunny@momentumevents.com) | Phone (862) 230-1081

---

**Where IT, The Cloud & Trade Controls Meet: An Examination of Recommended Storage and Access Controls for Controlled Information**

N – Defense Technology Security Administration (DTSA)

N – U.S. Department of Commerce

N - Mike Kostiw  
Vice President, Chief Ethics & Compliance Officer | AECOM

N - Lynn Van Buren  
Assistant General Counsel; Global Trade Compliance | Verizon

In Conversation With:

N - Brooke Horiuchi  
Senior Counsel, International Law Group | Orbital ATK, Inc.

**5:15 Summit Adjourns to Day Two**

**5:15-6:15 Networking Cocktail Reception**

***Day Two: Wednesday, April 18, 2018***

**8:30 Opening Remarks & Kickoff Day Two**

**8:40 Keynote Address: America First - Perspectives from the Office of the U.S. Trade Representative on the Trump Administration's Approach to Trade Agreements**

N - Bradford Ward  
Director, Interagency Trade Enforcement Center (ITEC), Office of the U.S. Trade Representative (USTR)

**9:10 Auditing Compliance through Health Checks: Risk Detection & Mitigation Strategies for Effectively Monitoring the Efficacy of Compliance Across Your Global Business Operations**

N - Jaikumar Ramaswamy  
Managing Vice President, Enterprise Risk Management | Capital One

N - Jim Rogers  
Chief Legal Officer | Cars.com

N - KathyInn Self  
Vice President & Chief Compliance Officer | Universal Weather & Aviation





Contact: Sunny McCall, JD | Vice President, Content & Experience  
Email: [sunny@momentumevents.com](mailto:sunny@momentumevents.com) | Phone (862) 230-1081

---

**10:00 Conflict of Interest Considerations for Today’s Global Business: What Every Compliance Officer and Legal Executive *Should* Know about Your Company’s (and Your Executive’s) Connections & Business Relationships**

N - Lisa Stewart Hughes  
VP, Deputy Chief Compliance Officer | NBCUniversal, Inc.

N - Natalie Walker  
Associate General Counsel and Chief Compliance & Ethics Officer | Washington Gas

In Conversation With:

N – Lindsay Koren  
Vice President, Associate General Counsel – Ethics & Compliance | Darden

**10:40 Morning Networking & Refreshment Break**

**11:00 Track Sessions Begin**

*During the following track sessions attendees will have the opportunity to break out into discussions contingent on your area of expertise or concern.*

**11:00-11:40 Track Session 1**

**Track A: Anti-Corruption/Anti-Bribery**

**Exit (and Entrance Strategies) for LatAm: A Checklist Guide for What Should Go into Your ABAC Risk Matrix**

N - Maria E. Gomez  
Regional Compliance Officer South America & Mexico, Global Ethics & Compliance Center | General Motors

N - Luis Kolster  
Vice President, Chief Ethics & Compliance Officer- Latin America, Africa and India | Walmart International

In Conversation With:

N - Brian Winter  
Vice President, Policy, AS/COA and Editor-in-Chief, Americas Quarterly

**Track B: International Trade/Sanctions**

**Russia Sanctions Case Study: A Step by Step Guide for Navigating the Complex Sanctions Landscape**



Contact: Sunny McCall, JD | Vice President, Content & Experience  
Email: [sunny@momentumevents.com](mailto:sunny@momentumevents.com) | Phone (862) 230-1081

---

N - Jennifer Maki  
Director & Senior Counsel, International Trade Compliance | UTC CCS

N - Ann Broecker  
ITC & Economic Sanctions Compliance Leader | GE Capital

In Conversation With:

N - Laura J. Molinari  
Executive Counsel, International Trade Compliance | GE Aviation

**11:40-12:20 Track Session 2**

**Track A: Anti-Corruption/Anti-Bribery**

**Compliance Innovations & Best Practices for Responding to Global ABAC Challenges**

C – Rashelle Tanner  
Attorney, Office of Legal Compliance | Microsoft

N - T. James Min II  
VP, Int'l Trade Law & Head of Global Legal Practice Group - Trade Law | Deutsche Post DHL - Global Business Services

N - Ellen Hudock  
Chief Anti-Bribery and Corruption Investigations Counsel, US Legal Operations | GlaxoSmithKline

**Track B: International Trade/Sanctions**

**Compliance Innovations & Best Practices for Responding to Global Trade Challenges**

N - Sol Brody  
Vice President, Global Trade | Raytheon

N - Emily Lough  
Corporate Counsel & Chief Compliance Officer | Maxwell Technologies, Inc.

N - Richard Fruehauf  
Deputy General Counsel-Corporate | U.S. Steel Corporation

**12:20 Networking Luncheon**

**1:30 Executive Leadership Roundtable: A Discussion on Board Governance, Information Flow, Reporting Lines and Individual Liability**



Contact: Sunny McCall, JD | Vice President, Content & Experience  
Email: [sunny@momentumevents.com](mailto:sunny@momentumevents.com) | Phone (862) 230-1081

---

N - Margaret B. Shannon  
Member, Board of Directors | Quanta Services, Inc.

N – Jacqueline Hourigan Rice  
Board Member, Audit, Compensation and Nominating & Governance Committees | ADTRAN  
*Former* Executive Vice President, Chief Risk & Compliance Officer, Target

N - Shan Atkins  
Audit Committee Chair | True Value Co.  
Director | Darden Restaurants Inc., LSC Communications, SpartanNash Co., SunOpta

N - Charlene Begley  
Director | NASDAQ, Hilton, Red Hat Inc.

N - Michael D. White  
Audit Committee Chair | Kimberly-Clark Corp., Whirlpool Corp  
Director | Bank of America Corp.; Advisory Partner, Trian Fund Management L.P.

In Conversation With:

N - Natalia G. Shehadeh  
Vice President & Chief Compliance Officer | Weatherford

**2:20 Re-Training the Trainer: Budget-Friendly (and Innovative) Tips for Promoting Awareness, Combatting Fatigue and Ensuring Local Adoption of Your Global Compliance Program**

N – Bettye Hill  
VP & Chief Ethics & Compliance Officer | Oshkosh Corporation

N - Leonard Shen  
SVP - Chief Compliance Officer | Visa

**3:05 Afternoon Refreshment Break**

**3:20 Privacy, Cybersecurity and Data Control Best Practices for the ABAC/Global Trade Executive: What You Need to Know as a Non-Privacy Expert Operating in Today's Global Business Environment**

N – Jacob Springer  
Global Privacy Officer and Compliance Division Counsel | Abbott

N – Lisa Barksdale  
SVP, In Business Privacy Officer | Citi



Contact: Sunny McCall, JD | Vice President, Content & Experience  
Email: [sunny@momentumevents.com](mailto:sunny@momentumevents.com) | Phone (862) 230-1081

---

**4:00 The Forefront of RegTech: An Exploration of New Technologies, Analytics & Measurement Techniques That are Revolutionizing Corporate Approaches to Global Compliance**

N – Matt Galvin  
Anheuser-Busch InBev | Global Director, Legal & Compliance

In Conversation With:

N - Hui Chen  
*Former* Compliance Counsel Expert (Consultant) | U.S. Department of Justice

**4:45 Closing Remarks & The ACES Summit Concludes. See you in 2019!**