

Momentum Presents
The Inaugural
Faces of A.C.E.S. Award
Championing Diversity in Compliance Innovation

The **A.C.E.S. Compliance Summit** is pleased to announce its inaugural **Faces of A.C.E.S. Award**, organized to celebrate the achievement of one executive in the compliance field who has also championed their company's diversity initiative as part of their compliance program.

As the A.C.E.S. Community represents a diverse, cross section of industries, companies and executives, and in recognition of the innovation that is driving global compliance programs in the areas of anti-corruption/anti-bribery and global trade, Momentum is excited to launch this new industry award.

Momentum endeavors to ensure that this award will encourage the newbie to step forward, the person who was told their process wouldn't work but then it did, the executive who pieced together a plan using resources already in play towards a different or new purpose, the person who found the budget where there were no resources to be had – this award is for you.

In addition to your support of compliance innovation within the company, more importantly, you have been an active participant in the company's diversity initiatives. You have taken on the mentorship of underrepresented demographics within your company or industry. You spearheaded the development of an industry meeting group for ethnic minorities or women in your field. You question solution providers and outside counsel regarding their follow through on diversity initiatives that speak to staffing and firm opportunities – this award is for you.

If you are or know someone who embodies the above, we encourage you to read on.

Eligibility & Who May Nominate:

Anyone can submit a nomination, but consideration for this award will only be given to those who meet **ALL** the following criteria:

1. Current in-house/corporate executives (solution providers, consultants or law firm counsel are not eligible)
2. Will remain at that company where the innovation/initiative was launched through April 2018
3. Led or launched a novel or innovative compliance initiative, program or work conduct in any of the following areas – anti-corruption/anti-bribery, global trade or sanctions.
4. Demonstrated commitment to supporting their business's diversity initiatives and/or promoting a diverse and inclusive workforce.
5. Work undertaken between April 2, 2017 and April 2, 2018. Some of the work must have occurred during this time, although it need not have been started or completed during this period.

Entry Details:

To submit an entry, please go to <http://theacesummit.com/award> to submit your nomination via the event site. To note you will be asked to submit a statement as part of the entry.

Applicant Statement:**Please limit the statement to 500 words or less.**

Please include the following information in the Statement:

- Overview of the executive being nominated – title, work experience, company, company size, company description
- How the nominee has demonstrated a commitment to supporting their business's diversity initiatives and/or promoting a diverse and inclusive workforce.
- How the nominee has acted as a trailblazer in his/her compliance work. Entries may be evaluated in the following areas as outlined under Judging Criteria –
 - Innovation/ Innovation/Novelty
 - Process Improvement/Technology
 - Fiscal Savings or Growth
 - Heightened Compliance Awareness
- For each area, the following kinds of information are relevant:
 - The objective of the initiative, process or work conduct.
 - The magnitude of the nominee's efforts (including information on the company's efforts in support of the same) (e.g., in terms of time or money spent or the number of employees or departments involved, if this information is available).
 - Evidence that highlights the effectiveness of the initiative, process or work conduct including information on –
 - How the innovation furthered a specific commercial initiative or strategy.
 - How the initiative effectively addresses a risk(s) for a particular industry.
 - How the innovation addresses risks across multiple markets, if applicable.
 - Evidence may include information on business outcomes like growth, increased revenue, cost savings, improvement in a process or customer satisfaction, positive press coverage of the nominee activity, employee achievement or retention rates, testimonials, comparative data that demonstrates before and after analysis or comparison to industry data, etc.

Supporting Materials

Although supporting materials are not required, it is strongly recommended that you share any information that will directly demonstrate the value of the stated innovation, initiative or work conduct. Such materials may include visual materials (i.e. PowerPoint presentation, Prezi, etc.), customer/executive testimonials who have benefitted from the new process, charts and diagrams demonstrating fiscal savings or growth, promotional materials such as guidebooks, training manuals. Be sure to label each supplementary item so it can be readily matched with your entry. For larger entries, materials can be shared via a confidential link with proper permissions/login details provided in the entry form.

Any proprietary data submitted will be kept strictly confidential and any information about the winner will be published subject to final approval by the winner.

Supporting information can be sent via email to awards@theacesummit.com.

Deadline & Judging Criteria:

Deadline for Entries: April 2, 2018

Pending the nominee meets all 5 of the above criteria, entries may be evaluated in the following areas:

Innovation/Novelty: Demonstrate the uniqueness of the initiative, process or work conduct. If the nominee updated or revised an already existing process, initiative or work conduct please be able to describe the novelty of the improvement.

Process Improvement/Technology Utilization: Demonstrate how the stated initiative, process or work conduct has substantially improved an internal or external process to the benefit of the company or its customers, partners or clients. If technology was used as part of the initiative, process or to implement the work conduct, please describe the functionality of the technology, what it does, how it effectively addressed/assisting in launching the initiative or streamlining its implementation.

Fiscal Savings or Growth: Demonstrate the fiscal savings, increased profit or other growth actualized by the company as a result of the nominee's work on the stated initiative, process or work conduct.

Heightened Compliance Awareness: Demonstrate how the nominee's work on the stated initiative, process or work conduct led to heightened compliance awareness across the organization or with the company's customers, partners or clients.

The winner will be announced on-site at the 11th Annual A.C.E.S. Compliance Summit on April 18th.

The Faces of A.C.E.S. Award Review Committee:

Representing a diverse cross-section of industries and subject matter experts, award nominations will be reviewed by a confidential sub-committee of Momentum's **Global Anti-Corruption and Trade Compliance Advisory Board**. To view Momentum's 2018 Global Anti-Corruption and Trade Compliance Advisory Board please visit <http://theacesummit.com/advisory-board/>